

# Doug Richardson

SeatonHill Partner

## CORE COMPETENCIES

CFO • Director of Finance • Controller • Financial Management • Internal & External Financial Reporting • Financial & Operational Analysis • Budgeting • Forecasting • Accounting Procedures & Processes • Risk Assessment & Management • Strategic Planning • Internal Audit

## EXECUTIVE PROFILE

**Doug Richardson** is a partner in the Chicago office of SeatonHill. He has over 30 years of executive experience in chief financial and compliance officer roles providing financial, compliance, information technology, and operations leadership to various mid-market companies. Key focus has been on the development of growth orientated strategic and tactical planning at the CEO and board level tied to internal financial and operational control, forecasting and budgeting, financial processes and procedures, and risk management. While at Arthur Anderson, Doug garnered international experience when he worked in Russia to develop standard practices and rules of conduct for operating within Russian capital and investment markets. Prior to joining SeatonHill, Doug was the Chief Financial Officer at Lift Works, Inc., a provider of aerial lift and materials handling equipment rentals, sales, maintenance, and repair services.

**ARS, LLC:** As **Division Controller** for this national provider of air conditioning, heating, indoor air quality, plumbing and smart home services to residential and light commercial customers, Doug provided oversight of the branch controllers, and accounting and operations functions at 10 service center locations. He developed and implemented uniform revenue and expense projection and labor tracking processes, and the financial analysis of service center performance versus projection and budget. Specific focus was on expense management and operational improvements. Doug oversaw the month and year-end accounting close process at 10 service centers and prepared the month and year-end and accounting closes as Branch Controller.

**CNBS:** As **EVP** and **CFO** for this registered securities broker-dealer and investment adviser providing fixed income investments, investment management, and risk reporting services to financial institution investors, Doug managed the development and execution of the company's financial, operational, regulatory compliance, and information technology processes. Following the spin-off from the parent company, he established new accounting processes and procedures, including system selection and implementation. Doug developed and managed the annual budget, financial reporting, and forecasting processes and procedures. He worked with the CEO on strategic and tactical planning and presented these strategies along with the annual budget to the Board of the Directors.

**Arthur Anderson, Moscow Russia:** As a **Senior Consulting Manger** for the Government Services Office of Arthur Andersen which provided US Government funded consulting and business development services to domestic and foreign government and private entities, Doug managed consultations with Russian securities dealers on operational and financial procedures and practices. He also developed standard practices and rules of conduct for the smooth operation of capital and investment markets in Russia. Doug hired, trained, and supervised a staff of Russian financial services professionals to assist with the practice and process analysis of Russian securities dealers.

## EDUCATION

**BS, Accounting** • Marquette University, Milwaukee, WI

**Certified Public Accountant (CPA)**

**Chartered Global Management Accountant (CGMA)**



## Executive Experience

**Lift Works, Inc** [2021]  
Chief Financial Officer

**ARS, LLC** [2015-2020]  
Division Controller, Branch Controller

**CNBS, LLC** [1996-2013]  
EVP, CFO, COO, CCO

**Arthur Anderson, LLP Mosco, Russia**  
[1995-1996]  
Senior Consulting Manager

**Robert W. Baird & Co., Inc.** [1985-1995]  
First VP, Director of Internal Audit

**Arthur Anderson, LLP** [1983-1985]  
Audit Division

## CONTACT

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